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AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION

February 9, 2007

TO: Mail Stop: ISSUE FEE  
Examiner: VU  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1371US01

TELEPHONE: (612) 455.3800

Total pages, including cover letter: 23

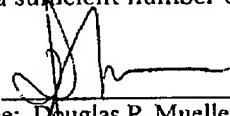
PTO FAX NUMBER: 571.273.2885

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Title of Document: **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT,  
FORM 1449, 1 REFERENCE, JAPANESE OFFICE ACTION**

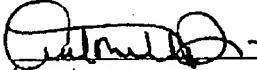
Applicant: UCHIDA et al.  
Serial No.: 10/809,215  
App. Filed: March 25, 2004  
Group Art No.: 2811  
Conf. No.: 6568

Please charge Deposit Account No. 50-3478 in the amount of \$180 for Supplemental Information Disclosure Statement. Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Douglas P. Mueller  
Reg. No. 30,300

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Antonette C. Peters

  
Signature

Feb 9, 2007  
Date

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FEB 09 2007**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: UCHIDA et al. Examiner: VU  
Serial No.: 10/809,215 Group Art Unit: 2811  
Filed: March 25, 2004 Docket: 10873.1371US01  
Title: SOLID-STATE IMAGING APPARATUS AND METHOD FOR  
PRODUCING THE SAME

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on February 9, 2007.

By:   
Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A copy of the Japanese Office Action from the corresponding Japanese application is transmitted herewith. This Office Action was mailed on January 9, 2007.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☒ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

02/12/07 HDESTA1 00000064 503478 10809215  
01 FC: 180.00 DA

**BEST AVAILABLE COPY****RECEIVED  
CENTRAL FAX CENTER****FEB 09 2007****STATEMENT**

Applicants hereby state that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☒ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.Filing DateGroup

- ☒ A concise explanation of the relevance of the corresponding English language publications of some non-English language documents or other information is as follows (37 C.F.R. §1.98(a)(3)):

JP 10-257389 corresponds to US 6,037,577

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

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Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.

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Minneapolis, MN 55402-0902

(612) 455-3800

Dated: February 9, 2007By: 

Douglas P. Mueller

Reg. No. 30,300

DPM:acp

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Date Mailed: February 9, 2007

Sheet 1 of 1

|   |                                  |                                   |
|---|----------------------------------|-----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>10873.1371US01 | Application Number:<br>10/809,215 |
|   | Applicant: UCHIDA et al.         |                                   |
|   | Filing Date:<br>March 25, 2004   | Group Art Unit<br>2811            |

| U.S. PATENT DOCUMENTS |              |         |               |       |          |                            |
|-----------------------|--------------|---------|---------------|-------|----------|----------------------------|
| EXAMINER INITIAL      | DOCUMENT NO. | DATE    | NAME          | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|                       | US 6,037,577 | 03/2000 | Tanaka et al. |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |
|                       |              |         |               |       |          |                            |

| FOREIGN PATENT DOCUMENTS |              |         |         |       |          |                    |    |
|--------------------------|--------------|---------|---------|-------|----------|--------------------|----|
|                          | DOCUMENT NO. | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION        |    |
|                          |              |         |         |       |          | YES                | NO |
|                          | JP 10-257389 | 09/1998 | Japan   |       |          | Abstract / See IDS |    |
|                          |              |         |         |       |          |                    |    |
|                          |              |         |         |       |          |                    |    |
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|                          |              |         |         |       |          |                    |    |
|                          |              |         |         |       |          |                    |    |
|                          |              |         |         |       |          |                    |    |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
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**53148**

PATENT TRADEMARK OFFICE

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE